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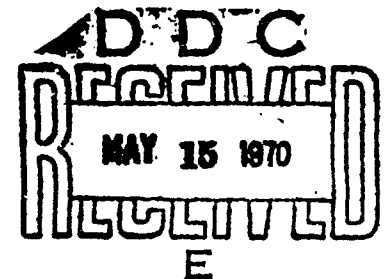
FITTING KOREAN WAR DATA BY STATISTICAL METHODS

by
John L. Overholt

Professional Paper No. 32.

CENTER FOR NAVAL ANALYSES

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Fitting Korean War Data By
Statistical Methods

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FITTING KOREAN WAR DATA BY STATISTICAL METHODS

John L. Overholt
Center for Naval Analyses

Abstract:

→ Korean war data obtained from the records of all services is related to U.N. divisions engaged with North Korean and Chinese Communist troops in central Korea in May 1951. All data was taken from U.N. force records, which contained estimates of enemy strengths, casualties, and weapons. The daily record for each division was considered: the strengths and casualties of opposing forces, the amount of ground gained or lost, and fire support as measured in artillery rounds and air sorties.

Various graphs display changes in individual variables and in combinations of variables, from day to day and with undulations in the battle line as forces concentrated to attack or withdrew. These plots suggested more sophisticated treatment, with the use of multiple regression to fit various proposed relationships. These were relationships between casualties and probable causes, such as the quantity of ordnance fired, the intensity of the battle, and the exposure of the troops as indicated by ground gained or lost. The two major problems were missing data and the correlation between types of data that probably had a common cause that could not be measured directly, such as the decisions of the opposing division (or higher) commanders to advance, hold, or withdraw, and their willingness to accept casualties to achieve objectives.

Lanchester equations of various types were examined by the incorporation of firepower into the strength and casualty relationships. Some empirical equations were also prepared.

The data and results were presented briefly; this current work will be presented elsewhere in an extended form at a later date.

Presentation

Ability to predict the outcome of battles would be useful for generals, military historians, and analysts. Lanchester proposed relationships between strengths and casualties; though these relationships are often used in war gaming and in studies, they have not been verified successfully very often. To our knowledge, no one has, for instance, analyzed the changes that occur in a continuing battle.

Therefore, as part of a Center for Naval Analyses study that modeled the effect of fire support on ground warfare, we examined the records of the U.N. divisions and corps engaged in central Korea in May 1951. The Air Force, Navy, and Marine Corps records were also consulted to supply data that was not available in Army records.

The daily situation maps (figure 1) provide front length and ground gained. To obtain the numbers of air sorties, we compiled the impact points of ordnance and related them to the units supported. This data (as in figure 2) provides an index to the intensity of action over the entire front when Army records are missing.

Many plots are made to show the continuity of action from day to day. The movement of divisions throughout the month is shown in figure 3. The front was quiet during the first half of the month, with small patrols engaging from time to time. The Chinese Communists massed forces in front of the 5th Republic of Korean (ROK) division, achieving a 1.4-to-1 force ratio at the start of the attack on 15 May.

The attack came in massed human waves which attempted to overrun the U.N. positions; the enemy was armed with smaller weapons only and had no artillery or air support. The U.N. forces planned to inflict maximum casualties with minimum losses to themselves; ground gains were not regarded as important. The ROK unit gave way, and the adjacent divisions were forced back to prevent a breakthrough. During this period the U.N. forces inflicted terrific losses: on 38 division-days, enemy losses exceeded 1000 per division; at times, losses per division mounted to 8000 or more per day. The median exchange rate in major attacks between enemy and U.N. forces was 70-to-1 during enemy offensives and 35 to 1 during our counterattacks in the latter part of the month.

The cumulative casualties were plotted on log-log paper to condense results. This plot (figure 4) shows the units that took heavy casualties during the enemy's attack and our counter-attack. The data was eventually reduced to a record of what

happened per mile of front; the support was converted from rounds of artillery of various calibers, light and heavy aircraft sorties, and the estimated strengths of each side, into tons of ordnance fired. The tonnage of artillery and air ordnance per mile of front for each division (shown in figure 5) was greatest during the enemy attack; usage diminished as the U.N. forces attacked.

Multiple regression analysis was used to find the statistically significant terms in various relationships. There are two difficulties: Data was sometimes missing or bad, especially when our forces were on the defensive and falling back, and some units kept poor records or lost them in battle.

During battles, there is an increase in nearly all the factors measured. Thus, there is a high correlation among the various kinds of fire support, casualties, and ground gained. It is often difficult to decide whether any cause-and-effect relationship should be inferred; it is easier to decide that some relationships are obviously useless, such as the high correlation between friendly casualties and number of light artillery rounds fired. Relationships such as these reflect a common cause, which often was the commander's decision to attack.

Five forms of the Lanchester equations are tested in this analysis. Enemy casualties are predicted quite well; friendly casualties are not. The former is based on data on our fire-power and strength, which we know well; our casualties are predicted entirely from enemy strength, of which our knowledge is rather poor. None of the Lanchester equations proves markedly superior to another when the exponent to strength of force equals one. Some of the empirical equations in which the exponents are fitted indicate that the log law holds when the enemy attacked in strength; for other purposes the square law is as good as any other.

The conclusions about the equations are based on whether the coefficients of the terms were statistically significant, at the 95 percent level. The percentage of variation in the data explained by the entire equation is the regression coefficient R^2 . If the value of R^2 is rather high, changing the form of the equation does not usually change the significant terms in the equation. When R^2 is low, the conclusions are often inconsistent when the model is changed.

Typical R^2 results are displayed in figure 6. The data is separated into 3 types of battle. The friendly casualty equations all have low R^2 values; in these equations, enemy strength is not important. Generally, enemy troops are killed

by heavy fire before they engage the U.N. forces. Divisional strength data seems to include many forces that are not engaged; perhaps better results would be obtained by consideration of only the maneuver elements engaged. This requires examination of smaller units and would take much more time. During patrol periods, the enemy strength is not important, either, and enemy casualties are related to our firepower. The two lines labeled "separate" and "total" refer to separate forms of ordnance and total ordnance tonnage. Although, there is usually not much difference in R^2 value between the two, the former indicates the kind of ordnance that is more important.

In the last figure, a few equations are presented covering enemy casualties under 4 battle conditions. During heavy battles, our light and heavy bombers caused most enemy casualties when the U.N. was advancing; when the enemy attacked, however, our light artillery was most important. During patrol periods, our artillery caused equal numbers of deaths, no matter which side was attacking.

This, then, is a resume of the study that is now being prepared for publication. We hope that the work will be available with the data so that others can try their hand. Because of gaps in the data, we were unable to include all the important factors. The study has provided insight into the weaknesses of attempts to model wars on the basis of divisional strengths alone.

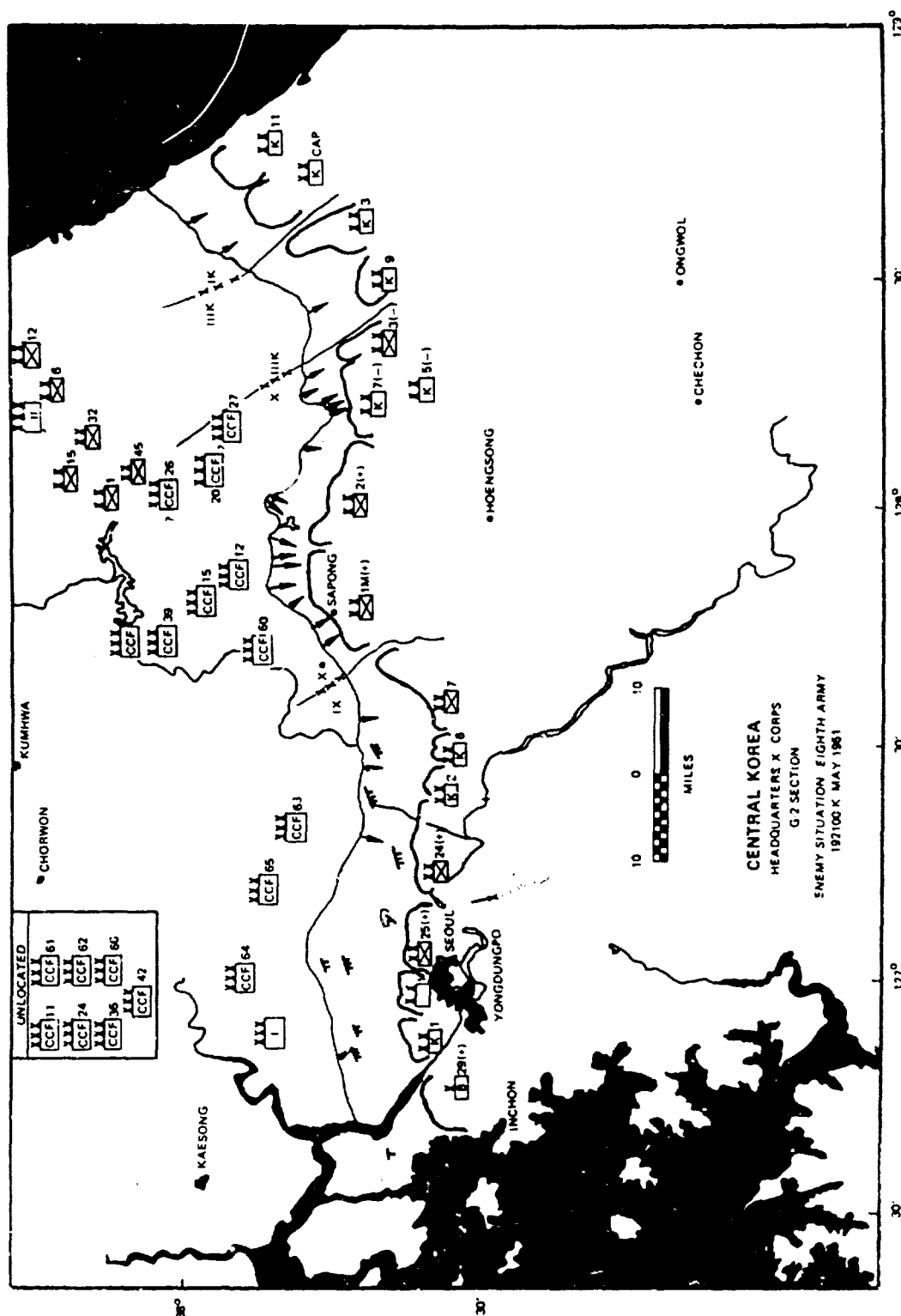


FIG. 1: SAMPLE DAILY SITUATION MAP

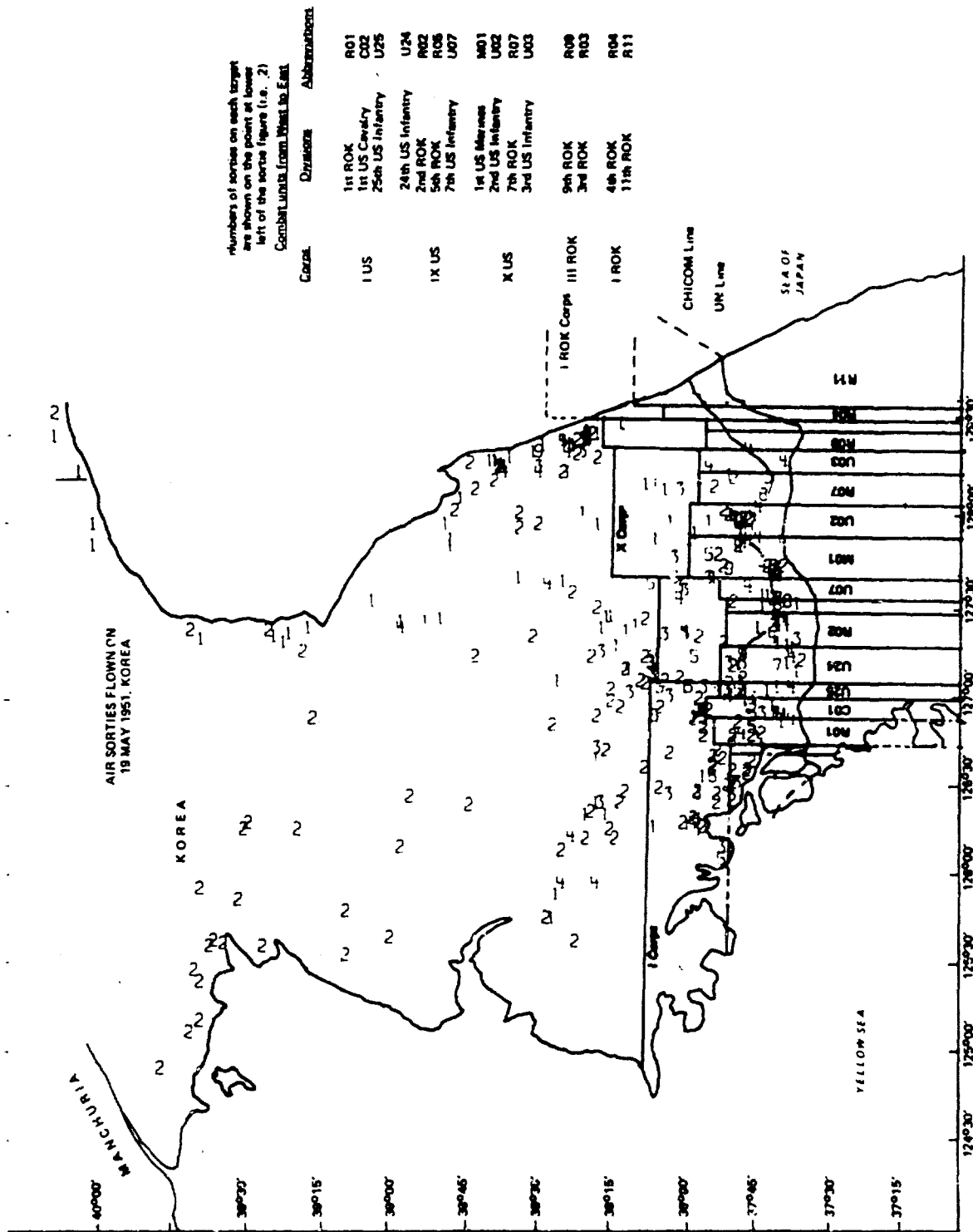


FIG 2: SAMPLE OF AIR SORTIE DATA EXTRACTED FROM ORDNANCE IMPACT POINTS

GROUND GAINED/LOST BY DIVISIONS KOREAN WAR, MAY 1951

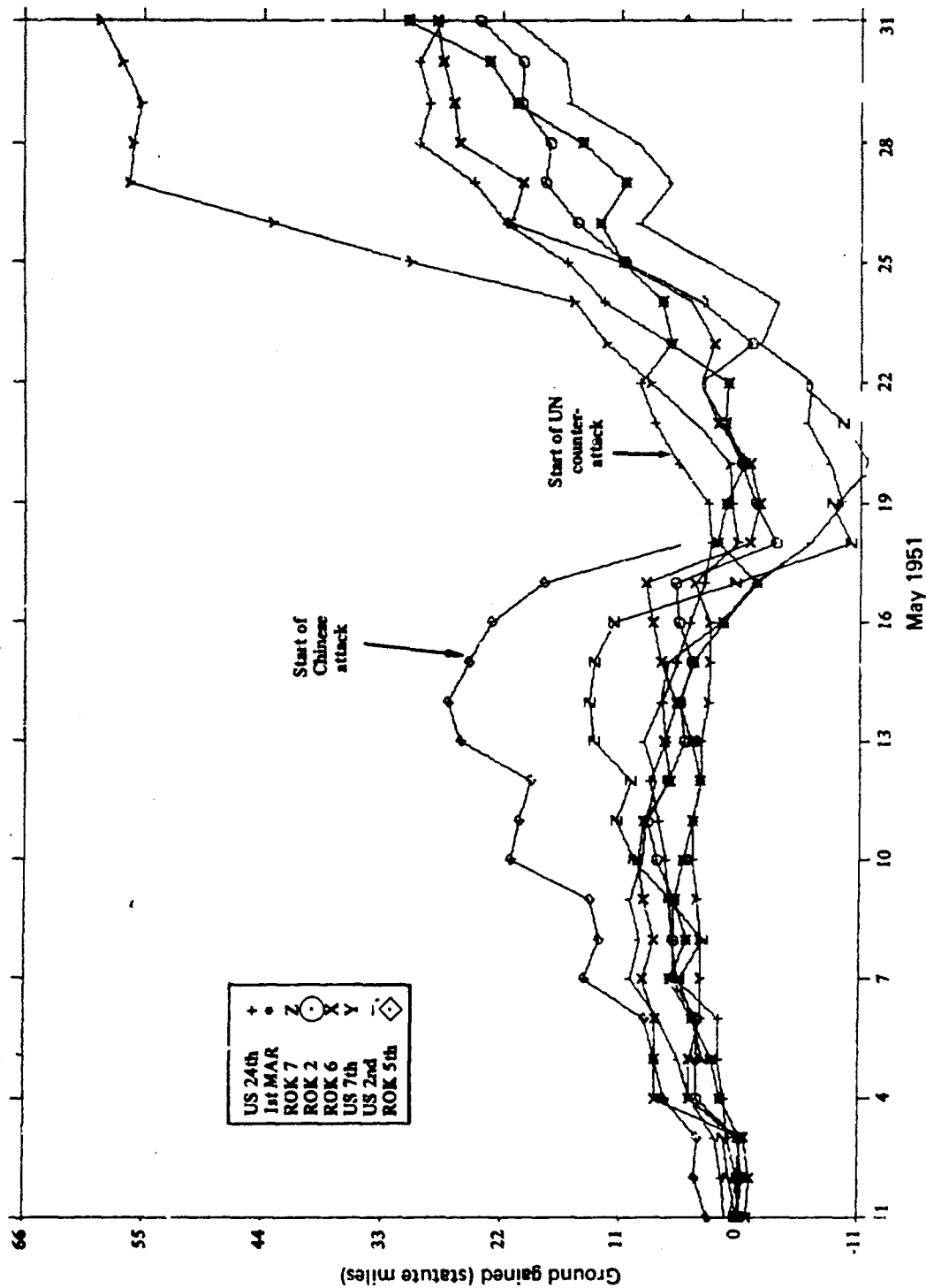


FIG 3: GROUND GAINED/LOST BY DIVISIONS, MAY 1951

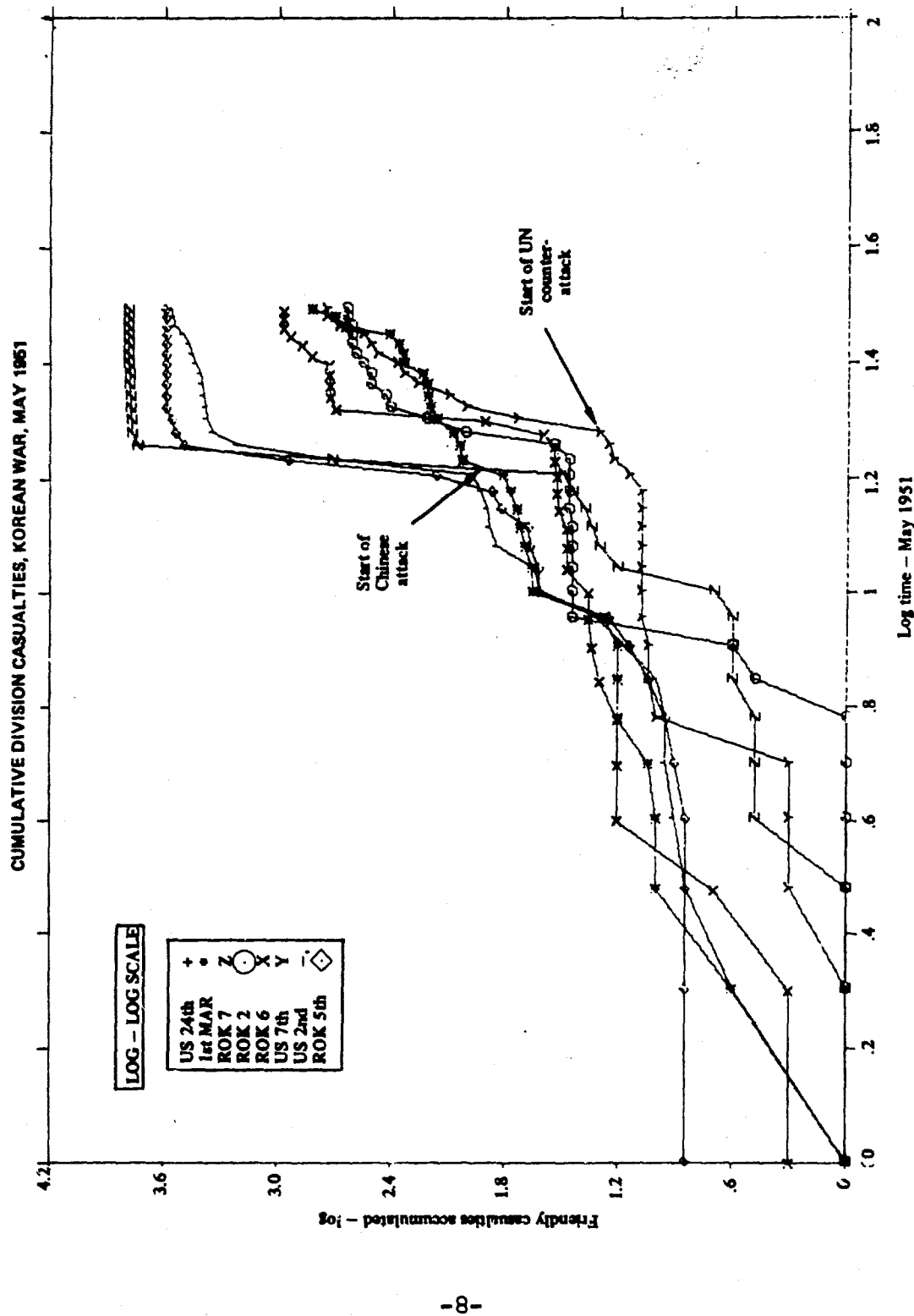


FIG 4: DATA FOR MAY 1951 - PLOTTED AS THE LOGARITHMS OF CASUALTIES AND TIME

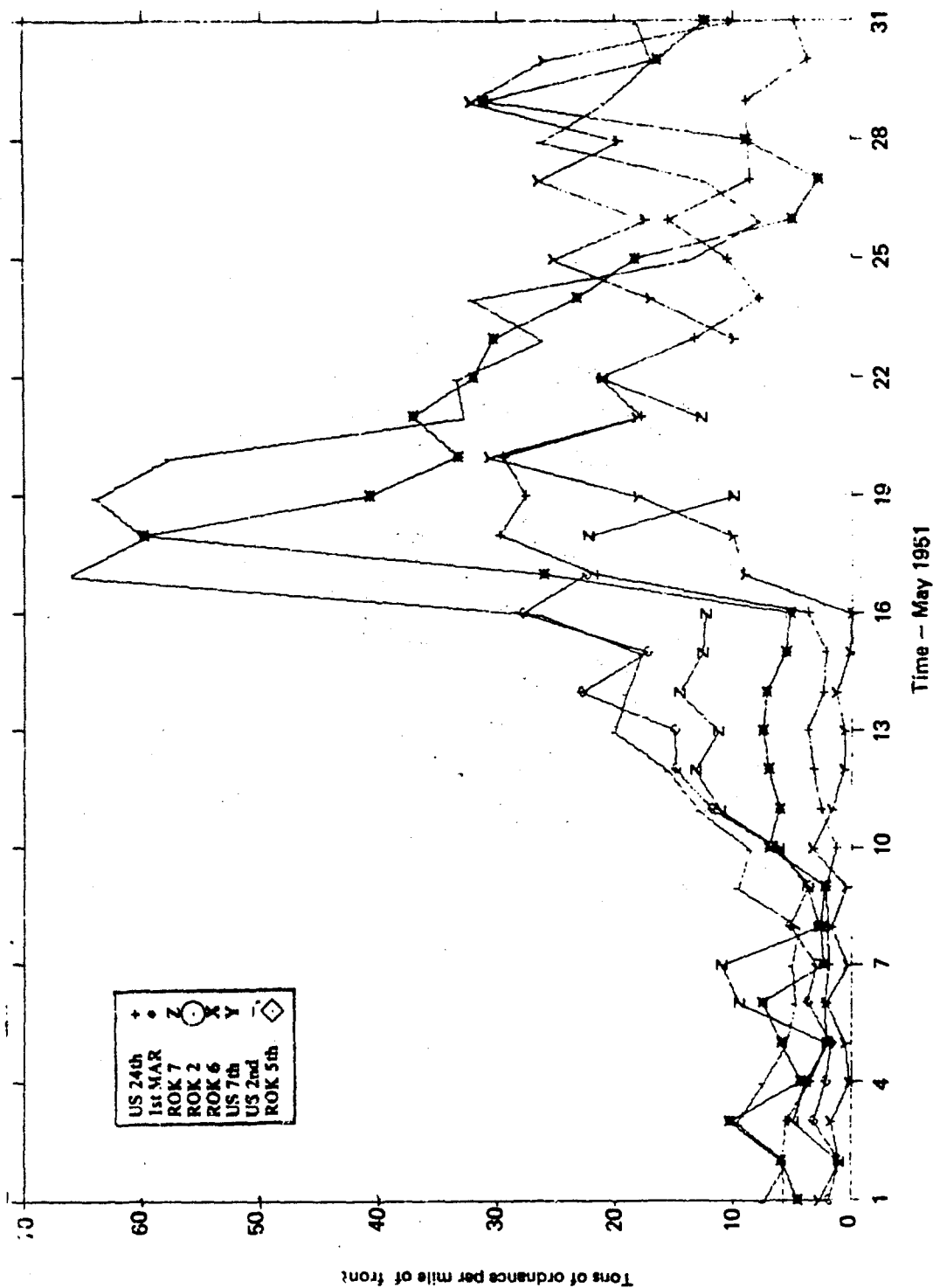


FIG 5: TOTAL AIR AND ARTILLERY AMMUNITION TONS PER MILE FRONT
KOREAN WAR, MAY 1951

FIG: 6

**REGRESSION COEFFICIENTS FROM DATA SORTED ON MISSION AND ON GROUND
GAINED OR LOST (LANCHESTER LOG LAW I)**

| | Firepower equation | U. N. attack | | Enemy attack | | Patrol | |
|---------------------|-----------------------|--------------|------------------|--------------|------------------|---------|---|
| | | Mission | Ground gained | Mission | Ground gained | Mission | Ground gained by U.N. Ground gained by enemy |
| Enemy casualties | Separate | .39 | .67 | .61 | .67 | .81 | .80 .83 |
| | Total | .28 | .61 | .69 | .75 | .61 | .62 .76 |
| Friendly casualties | Separate | .07 | .06 | .08 | .11 | .22 | .01 .06 |
| | Total | .07 | .06 | .01 | .11 | .14 | .01 .06 |

FIG: 7

**REGRESSION EQUATIONS FOR CASUALTIES FOR TWO INTENSITIES
OF CONFLICT WHEN GAINING GROUND**
(See Series 41 in table E-2)

| Conditions | Battle level | Equation | R ² |
|-----------------|--------------|---|----------------|
| U. N. advancing | Intense | $EC = -2295 + (47 AS_o + 750 HB_o)$ | .67 (.69) |
| Enemy advancing | Intense | $EC = (.27 LA)$ $EC = (.0004 \text{ total ord})$ | .68 (.74) |
| U. N. advancing | Static | $EC = -58 + (.12 LA + 0.10 HA)$ | .78 (.80) |
| Enemy advancing | Static | $EC = + (.10 LA + .16 HA)$ | .83 (.85) |

* Where ord. = tons of ordnance of the type expended.

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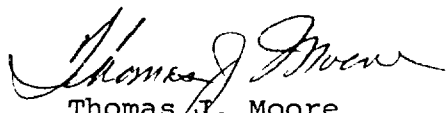
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